

**The University of Western Ontario
Management and Organizational Studies**

**MOS 310a: Finance
Section 004**

Instructor: Diana Mok
Office: SSC 2316
Phone: 661-2111 x.80114
Email: dmok3@uwo.ca

Fall 2007
Office Hours: Tuesday 10:30–12:30pm

Text:

Gitman, Lawrence J. and Sean Hennessey. "Principles of Managerial Finance," 1st Canadian Edition, Pearson Education Canada Inc., 2004.

Evaluation:

Midterm Examination	40% (TBA)
Final Examination	40% (TBA)
Project/Assignment	20% (See project outline; TBA)

Topics (See lecture schedule):

- I. Overview of Managerial Finance (Chapter 1)
- II. Financial Statement Analysis (Chapter 3)
- III. Financial Planning and Forecasting (Chapter 4)
- IV. Time Value of Money (Chapter 5)
- V. Financial Markets and Securities (Chapter 6)
- VI. Risk and Return (Chapter 7)
- VII. Valuation of Financial Securities (Chapter 8)
- VIII. Working Capital and Current Asset Management (Chapter 14)
- IX. Current Liability Management (Chapter 15)
- X. Cost of Capital (Chapter 9)
- XI. Leverage and Capital Structure (Chapter 10)
- XII. Dividend Policy (Chapter 11)
- XIII. Capital Budgeting (Chapter 12)
- XIV. Dealing with Risk in Capital Budgeting (Chapter 13)
- XV. Leasing, Hybrid Securities and Derivative Securities (Chapter 16)
- XVI. International Finance and Mergers (Chapter 17)

Important Remarks

There will be no make-up midterm exam given. If you miss the midterm examination and provide official documentation, then the weight of the midterm will be added to the final.

Requests for reassessments must be made within 7 working days after the graded material has been made available to students (i.e., the day the test is returned). If a student does not claim the returned material within 7 working days after the grade material has been returned to class, the student automatically forfeits the right to request for reassessments. Reassessments should normally be completed within 20 working days of the request. The instructor reserves the rights to adjust the grade upward or downward due to the reassessment.

If a student misses any classes, it is the student's responsibility to cover any materials missed. Answers and solutions to any questions or practice problems taken up in the lectures will not be posted.

Students who hand in their assignments late will be penalized at 10% per day late (i.e., 2 points towards the final grade), including Saturdays and Sundays.

If a student misses the final exam, it is the student's responsibility to petition Academic Counseling, Dean's Office in order to write a special exam in place of the original exam. Academic Counseling will expect the student to submit the appropriate documentation explaining the student's absence during the time of the original exam.

Cheating, plagiarism, and disruption of classes are not permitted; UWO considers these to be serious offences. Students must write their essays and assignments in their own words. Whenever students take an idea or passage from another author, they must acknowledge their debt both by using quotation marks where appropriate and by proper referencing such as footnotes or citations. (See, "Scholastic Offences" in the UWO Calendar for further explanation).

Plagiarism is a major academic offence. For information on scholastic offences, penalties and other academic rights and responsibilities please see the [Academic Rights and Responsibilities](#) section of the academic calendar.

All required papers may be subject to submission for textual similarity review to the commercial plagiarism detection software under license to the University for the detection of plagiarism. All papers submitted will be included as source documents in the reference database for the purpose of detecting plagiarism of papers subsequently submitted to the system. Use of the service is subject to the licensing agreement, currently between The University of Western Ontario and Turnitin.com.

Students may want to communicate to the instructor via email. It is advised that these questions require only a short answer. Questions that may need an answer longer than three sentences should be addressed during the office hours. In some instances, the information in the email may be beneficial to the whole class. In this case, the email may be broadcasted to the class. Students are advised, therefore, not to include private or personal information in any emails.

Lecture schedule

Date	Topic	Remark
Sept. 6	Introduction	Overview of Managerial Finance
Financial analysis and planning		
Sept. 11	Chapter 3	Financial Statement Analysis
Sept. 13	Chapter 4	Financial Planning and Forecasting
Sept. 18	Chapter 5	Time value of money
Sept. 20	Chapter 5	Time value of money
Important financial concepts		
Sept. 25	Chapter 7	Risk and Return
Sept. 27	Chapter 7	Risk and Return
Oct. 2	Chapter 8	Valuation of Financial Securities
Oct. 4	Chapter 8	Valuation of Financial Securities
Oct. 9	Chapter 6	Financial Markets and Securities
Oct. 11	Chapter 6	Financial Markets and Securities
Oct. 16	Review	
MIDTERM TEST: Oct. 20, 2007 (TENTATIVE)		
Working capital management		
Oct. 18	Chapter 14	Working Capital and Current Asset Management
Oct. 23	Chapter 15	Current Liability Management
Long-term financial decisions		
Oct. 25	Chapter 9	Cost of Capital
Oct. 30	Chapter 9	Cost of Capital
Nov. 1	Chapter 10	Leverage and Capital Structure
Nov. 6	Chapter 10	Leverage and Capital Structure
Nov. 8 Class cancelled		
Nov. 13	Chapter 11	Dividend Policy
Long-term investment decisions		
Nov. 15	Chapter 12	Capital Budgeting
Nov. 20	Chapter 12	Capital Budgeting
Nov. 22	Chapter 13	Risks in Capital Budgeting
Special topics		
Nov. 27	Chapter 16	Leasing, Hybrid Securities and Derivative Securities
Nov. 29	Chapter 17	International Finance and Mergers
Dec. 4	Review	